REGULATIONS AND CONDITIONS FOR APPLICANTS AND RECIPIENTS

Please read the following and ensure you also read our Privacy Policy, which includes information concerning how we will use and safeguard any personal data you provide to us.

These Regulations and Conditions apply to all Full applications for grant funding made to Kidney Research UK whose registered office is at Stuart House, City Road, Peterborough PE1 1QF and whose Registered Charity Numbers are 252892 and SC039245 and will apply to and be deemed to form part of any agreement under which Kidney Research UK provides funding to any third party for the carrying out of any research or other work (the "Grant Award").

These Regulations and Conditions are, however, not exclusive and must be read in conjunction with the specific online application form for funding provided by Kidney Research UK.

All applications to Kidney Research UK undergo expert review working with our College of Experts, incorporating our scientific and clinical experts, experts by experience and nominated external reviewers.

Details of awards will be made public via our website (www.kidneyresearchuk.org) once the funding round has completed and all successful applicants have been notified of their award success.

Terminology we use for participants in an application. Lead Applicant:
The Lead Applicant is the Principal Investigator, in charge of the research proposed in the application. Only the Lead Applicant can edit the application.

Co-Investigator:
A Co-Investigator works closely with the Principal Investigator, providing significant input to the content, direction and conduct of the research. There could be several Co-Investigators in an application.

Collaborator:
A Collaborator can provide expert guidance and support but will have no direct input to the application. Based in the UK or abroad, collaborators will share the Lead Applicant's research interests. There could be several collaborators in an application.

Supervisor:
A Supervisor oversees the research undertaken by students or fellows. There could be more than one supervisor in an application. In the case of a PhD Studentship, a supervisor is the Lead Applicant.

Sponsor:
A Sponsor supports applicants to Senior Fellowship awards and confirms the candidate's prospects for gaining tenured position.

Appropriate Official:
The Appropriate Official ("The Signatory") is the institution administrator with authority to endorse applications and administers grants and associated costs.

UK Policy Framework for Health and Social Care Research Official:
The UK Policy Framework for Health and Social Care Research Official is the institution administrator with responsibility for research involving patients, control volunteers, or human tissue.

Intellectual Property Officer:
The Intellectual Property Officer is the institution administrator with responsibility where research may have commercial potential.

1. Eligibility

(Applies to all grant funding **and please check** via the appropriate link in the table on page 8)

1.1. All applicants must be resident in the United Kingdom and the research project and work and/or employment must also take place in the United Kingdom. Kidney Research UK does not fund research outside the United Kingdom.

(i) Collaborators and Co-investigators may be based outside the UK. With full justification, Co-investigators may be involved in delivering smaller aspects of the research for the Lead Applicant.

1.2. All grants must be held with a host institution, which can be either a UK University or NHS Trust. Not-for-profit organisations, SMEs or companies are not eligible.

1.3. Applications are not accepted where the Lead Applicant already holds three or more concurrent active Kidney Research UK awards, excluding PhD Studentships and Start Up awards.

1.4. Lead Applicants may submit up to three (3) **different** grant applications in any single financial year (1 April to 31 March).

2. Completion of the Application Form

(* an asterisk indicates the clauses that do not apply to Master of Research application)

2.1. Applications must be laid out in accordance with the specified instructions on the appropriate online Full application form, completed in full and paying particular attention to these Regulations and Conditions.

2.2. Maximum word limits apply to sections of the online Full application forms, however, unpublished results that are essential for assessment of the full application and/or quoted within the full application may be uploaded as a single page appendix. These must be kept to a minimum and not exceed the one-page limit.

2.3. Applicants must adhere strictly to the deadline for the submission of completed Full applications. Late submissions will not be accepted.

2.4. *Summary of proposed research**. This section should outline the research; listing aims, methods and outcomes.

2.5. *Lay Title* and *Lay Summary**. This section is important for the benefit of non-scientific audiences, lay (expert by experience) reviewers, the public and donors who wish to understand the objectives, outcomes, and benefits of the research. It is also necessary to allow Kidney Research UK to promote the award and outcomes to a wide audience. Please ensure your title does not include anything of a commercially sensitive nature that you would not wish to be made public. If the lay summary is not found satisfactory then we reserve the right to request a revised version.

2.6. Applications should be aligned with our Research Strategy to 2030 (updated spring 2026).

2.7. Kidney Research UK must be notified of subsequent or simultaneous applications submitted to other grant giving bodies whilst an application is being considered by us for possible funding.

2.8. Any changes to the details provided in the application during consideration or award must be notified to Kidney Research UK as soon as possible. Significant changes will require Kidney Research UK's prior approval before implementing.

2.9. Applicants are encouraged to consult with the UK Renal Trials Network for support on design and power calculations where their application is a trial.*

3. Resubmissions and Simultaneous Applications to Other Funding Bodies

(Applies to all grant funds)

3.1. Unsuccessful or incomplete applications cannot be deferred to another round. Resubmissions made in an appropriate round will be expert reviewed on their own merit, as per the normal expert review process, and as such will be evaluated in open competition in the round in which they are resubmitted. No precedence is set by previous submissions or by addressing or rebutting the feedback comments on the previous application.

3.2. Kidney Research UK allows simultaneous applications to other funding bodies whilst it is considering the same application (see clause 2.7 above). However, the applicant(s) must:

(i) check that the other funding body has no objections to simultaneous applications;

(ii) make a declaration within the application form, detailing submitted and intended simultaneous applications for the three months pre- and post-submission to Kidney Research UK.

4. Reports and Publications

(Applies to all grant funding **and please check** for each grant type about reporting frequency; * an asterisk indicates the clauses that do not apply to Master of Research grant)

4.1. Grant recipients are required during the active grant to complete regular timely reports at the intervals as stated for each grant type. Reports should be accompanied by copies of all publications (including abstracts and scientific presentations) related to the work and where applicable copies of CVs for new staff employed on a grant, which can all be uploaded at the time of completing the report.

4.2. Reminders will be sent to alert applicants when reports are required. Payment of subsequent invoices covering a period after the reporting date will be delayed if reports are not received.

4.3. A Final Report will be required at the end of the grant, which must include a lay summary, and be accompanied by a list of publications (including abstracts and scientific presentations) related to the work. This report is expected to be received at the same time as or prior to the final invoice. Payment of the final invoice will be delayed until the Final Report has been received and approved.

4.4. All grant recipients are required to register and annually submit data through the online portal called *Researchfish* providing Kidney Research UK with their research outputs and outcomes resulting from their award. *Researchfish* is available online 24 hours a day, 365 days a year. (See Appendix 3.) Data must be entered during and indefinitely following completion of the grant, unless advised differently by Kidney Research UK.*

4.5. Acknowledgement of Kidney Research UK support must be made in all publications and presentations/posters resulting from research funded by a Charity grant and must include acknowledgement of any Charity partners co-funding the grant where it applies. Copies of publications should be forwarded to Kidney Research UK, preferably *in confidence* prior to publication release (e-publication and paper).

4.6. Kidney Research UK encourages publication into Open Access journals; however, it is not presently a mandatory requirement. There may be funds available at your institute for this, which applicants should explore.

4.7. Publication fees, conference attendance and printing costs are not covered by Kidney Research UK. A separate scheme for conference bursaries is offered by the charity.

5. Intellectual Property Rights, Patents and Copyright

(Applies to all grant funding)

5.1. The provisions set out in Appendix 1 shall apply to any intellectual property rights created or otherwise relating to any work funded (in whole or part) by Kidney Research UK. Submission of an application confirms that the applicant(s) and his/her/their host institution accept/s these provisions within Appendix 1.

5.2. Subject to clause 5.1 Kidney Research UK encourages and expects to see research data arising from its grants to be made as open as possible to other researchers with minimum necessary restrictions. This may include listing in a data repository or library such as the Kidney Research Data Portal. We accept that there may be genuine reasons such as security, confidentiality or commercialisation that limit access to your data for a period or indefinitely.

6. The use of the Public, Patients and Animals

(Applies to all grant funding)

6.1. Prior to the commencement of any research involving animals, Kidney Research UK requires evidence of valid Home Office licences. This includes both personal and project licences.

- For project licences, the following must be submitted: the title page displaying the project title, licence number, start and end dates. If the licence expires before the end date of the .grant, updated documentation must be submitted within 30 days of a renewed licence being granted.

- For personal licences, only the summary (total) page is required, which must include the licence holder's name, licence number, and start dates.

6.2. Prior to the commencement of any research involving human participants, human tissue, or personal data, Kidney Research UK requires evidence of valid approval from a recognised Research Ethics Committee (REC). Ethical approval must be dated no more than three years prior to the grant start date. If any substantial amendments are made to a research project after approval has been granted by a REC, evidence of ongoing ethical approval must be included within the next progress report.

6.3. Prior to the commencement of any research involving medicines or medical devices, where regulatory approval is required, Kidney Research UK requires evidence of MHRA approval.

6.4. If any substantial amendments are made to a research project after approval has been granted by the MHRA, evidence of ongoing regulatory approval must be included within the next progress report.

6.5. Payment of subsequent invoices covering a period after the reporting date may be delayed if the required regulatory and ethical documentation outlined above has not been received.

6.6. Compliance with Kidney Research UK's Policy on the Use of Animals and/or Patients and Human Tissues in funded research is mandatory. (See Appendix 2.)

6.7. Applicants should be familiar with and abide by the *UK Policy Framework for Health and Social Care Research* (last updated version 3.4, dated 28 April 2026) and any subsequent updates. This policy framework sets out principles of good practice in the management and conduct of health and social care research in the UK. It is for organisations and individuals that have responsibilities for health and social care research. This includes funders, sponsors, researchers and their employers, research sites and care providers. Research Institutes must have robust arrangements in place under the UK Policy Framework for Health and Social Care Research, which includes formally stating the Research Sponsor for all active research. Kidney Research UK, a medical research charity, has elected the role of "funder" and therefore requires the Institution to accept the role of the Research Sponsor for each grant awarded to them.

6.8. Patient and Public Involvement (PPI) is a requirement for all applications. Applicants must demonstrate meaningful involvement of patients and the public at all appropriate stages of the research, beginning with the design and development of the study, during its delivery where feasible, and in the communication of outcomes. *

7. Employment of Staff

(Applies to all grant funding **and please check** for each grant type about employment conditions)

7.1. Kidney Research UK does not act as an employer and, therefore, in all cases where support is provided for the employment of staff, the host institution undertakes to issue a contract of employment in accordance with any relevant Acts relating to the conditions of employment. Kidney Research UK does not accept claims for sickness or maternity leave. As Kidney Research UK is not the employer, it is not responsible for claims under any statute or common law, nor will it indemnify the host institution against any claim for compensation or against any other claim for which the host institution may be liable as an employer.

7.2. Applicants are required to state the appropriate point on the salary scale at which staff will be employed. Staff costs for each year covered by the application must be based upon a reasonable estimate of costs likely to be applicable during the term of the project including incremental and/or any nationally awarded salary increases. Note: Supplementary salary grants are not available.

7.3. The applicant will ensure that where a member of employed staff involved in research or other work funded by Kidney Research UK:

- encounters a professional issue or crisis that involves an external regulator or authority; and/or
- is subject to an investigation or enquiry by a regulator or authority; and/or
- otherwise acts in a way that could materially adversely affect the reputation of Kidney Research UK;

then the applicant will notify, or will procure that the member of staff notifies, Kidney Research UK immediately and no later than thirty (30) days from the matter occurring. This should be done confidentially through the Director of Research Operations at Kidney Research UK.

8. Items of Expenditure

(Applies to all grant funding **and please check** for each grant type about eligible expenditure types)

8.1. Kidney Research UK, as a member of the Association of Medical Research Charities (AMRC), confirms that any grant awarded from Kidney Research UK provides eligibility for the receiving research institution to claim additional funding towards the full economic cost of the research being undertaken under the award from the Charity Research Support Fund (CRSF), a fund provided by Government through its quality-related (QR) funding direct to institutions. Therefore, Kidney Research UK:

- will not meet the full economic cost of research it is supporting, through its response mode or other funding models;
- expects that institutes will contribute resources from QR, including the CRSF element, to meet the full costs of research;
- will not contribute a percentage overhead towards general institutes infrastructure.

8.2. Applications that include NHS resources should be aware of the AcoRD guidance. Kidney Research UK, as an AMRC member charity, would expect any research costs identified as 'Part B' (i.e. local study trial co-ordination or data collection) that are carried out by existing staff employed by the NHS, NIHR Clinical Research Network (NIHR CRN) or other clinical organisation, to be paid by the Department of Health and Social Care (DHSC) through local networks or the NHS Trust (see FAQs 9 and 10) in the Department of Health, 2016 AcoRD Report. They will not be paid by Kidney Research UK. See also item 12 (clinical only) below regarding Excess Treatment Costs, met by NHS England or DHSC through normal patient care commissioning arrangements.

8.3. Kidney Research UK will not pay INDIRECT costs, including but not exclusively:

- general travelling expenses
- conference registration fees and attendance
- Subsistence costs (e.g., meals and refreshments while travelling or attending events) training (unless critical to the research and justified in the application)
- advertising for posts and recruitment costs
- secretarial or administrative support
- stationery and office supplies
- printing costs
- IT equipment such as laptops/computers or software and/or any associated software licence fees (unless shown to be critical to the research and fully justified in the application)
- Home Office licence fees
- apprenticeship levy
- contribution to general departmental overheads.

8.4. Kidney Research UK will not pay publication costs (including Open Access and cover art fees).

8.5. Please ensure that all costs are calculated accurately as supplementary grants are not available. Allow for inflation, incremental pay increases, for instance.

8.6. Kidney Research UK is not responsible, financially or otherwise, for the expenditure or liability arising from the work other than that listed in the formal award letter.

8.7. Kidney Research UK will not cover costs for items or services that have not been justified or explained in the original application.

9. Financial Arrangements

(Applies to all grant funding except MRes grants - see section 10)

9.1. The Appropriate Official, through whom the application is submitted, on behalf of the Institution managing the award, must be prepared to support the application and agree to the research being carried out.

9.2. The Appropriate Official must be prepared to ensure that the funding provided is used for the purpose for which it has been awarded and to confirm that it is the Institute's intention to maintain support for the department during the full requested grant term, including any potential no-cost extension periods.

9.3. The Signatory's approval of the online application indicates they accept and will abide by all the Regulations and Conditions, both generic and specific to the type of funding, contained herein.

9.4. The Institute's Finance Department will be required to submit to Kidney Research UK, along with its claim invoice, an itemised breakdown (where the total claim is greater than £2,000) for all materials and consumables for which reimbursement is claimed. Applicants must ensure that their Finance Department understands and accepts this requirement. VAT zero rating must be applied to all expenditure, including purchased equipment.

9.5. Reimbursement of expenditure will be made by Kidney Research UK upon submission of a claim invoice certified to be correct personally by either the Chief Accountant or Finance Officer of the host institution.

9.6. All claim invoices must be submitted in arrears at intervals of three months, accepting that annual tuition fees, if relevant, will be paid in advance. Invoices are to be submitted online directly into our grants database.

9.7. The final claim invoice, marked as such, must be submitted within three months of the completion of the grant and directly prior to, or at the same time as, the Final Report. No further claims can be made against the grant after this time.

9.8. Kidney Research UK requires that any equipment provided in this grant is donated to the Department in which the grant holder works for the benefit of his/her/their research and for use solely in medical research, diagnosis or treatment. If the Institute is a registered charity, it is possible to obtain exemption from the payment of VAT for equipment donated for medical research. No equipment may be purchased under this grant which involves the payment of VAT.

10. Financial Arrangements for MRes grants

10.1. The tuition fee will be paid directly to the university upon receipt of an invoice. It is the applicant's responsibility to ensure Kidney Research UK are provided with the invoice. All invoices must be submitted online via our grants database.

10.2. The grant will be paid quarterly in advance, directly by bank transfer. If the applicant is undertaking the MRes either full or part-time the funding will accordingly be paid quarterly over the one or two-year course duration

10.3. The first payment will be made on the course start date, upon receipt of confirmation that the applicant has accepted a place on the course. It is the applicant's responsibility to provide Kidney Research UK with this confirmation.

10.4. Kidney Research UK requires that any equipment provided in this grant is donated to the Department in which the grant holder works for the benefit of his/her/their research and for use solely in medical research, diagnosis or treatment. If the Institute is a registered charity, it is possible to obtain exemption from the payment of VAT for equipment donated for medical research. No equipment may be purchased under this grant which involves the payment of VAT.

11. Termination of Grant

(Applies to all grant funding)

11.1. When Kidney Research UK makes a grant, it reserves the right, without notice, to terminate the grant should it so wish. In such a case, Kidney Research UK will reimburse the host institution for expenditure properly incurred under the award up to termination day, but will not in any event be responsible for, nor indemnify the host institution against, any matters arising from the employment of staff.

12. Limitation of Kidney Research UK Liability

(Applies to all grant funding)

12.1. Kidney Research UK is not responsible, financially or otherwise, for the expenditure or liabilities arising out of the work other than those listed in the formal award letter. All expenditure under this grant should be governed by the standards and procedures of the host institution.

13. Excess Treatment Costs (ETCs)

(Applies to funding involving clinical research – please see specific grant types)

14. Career Development

(Applies to Fellowships, Studentships, MRes – please see specific grant types)

For additional Conditions specific to a particular grant type that also form part of these Regulations and Conditions, please follow the appropriate link below:

Research Project Grant
Start Up Grant
Senior Fellowship (non-clinical)
Intermediate Fellowship (non-clinical)
Training Fellowship (clinical)
Clinical PhD Fellowship for Allied Health Professionals and Psychologists, Nurses and Pharmacists
PhD Studentship (non-clinical)
Master of Research (MRes) Grant

Research Project Grant

Additional Conditions specific to a Research Project Grant, which form part of these Regulations and Conditions, follow below:

1. Eligibility

1.5. Applicants must be employed in a role where their salary is already covered for the period of the award (e.g. NHS employee, university tenured).

1.6. The maximum Research Project Grant available is £300,000 and may be spread over a two- or three-year period. Extensions and supplementary grants are not available. In exceptional circumstances Kidney Research UK will consider a 'no-cost' extension to ensure the original aims of the grant are achieved.

1.7. Applicants may discuss their proposal in advance with Kidney Research UK, where it might exceed the £300k ceiling. Any decision to allow an application that requests an amount exceeding the ceiling is at the discretion of Kidney Research UK.

4. Reports and Publications

4.8. Grant recipients are required to complete a Progress Report after three months from the project start date, followed by Annual Interim Reports during the term of the grant.

7. Employment of Staff

7.4. A full CV for staff appointed such as post-doctoral researcher or research assistant must be submitted to Kidney Research UK upon appointment.

7.5. Applicants must not use a grant to enable a staff member to work for a higher degree or diploma, which requires more than the maximum period of the grant or exceeds the maximum grant. In any case, the higher degree fees are not eligible on this grant type.

8. Items of Expenditure

8.8. The costs of staff, such as post-doctoral researcher, research assistants and technicians, working full– or part-time on a project, and the cost of identified items needed for carrying out the research, such as laboratory or clinical consumables, field work costs, capital and equipment costs etc., are covered and are classified as DIRECTLY INCURRED costs.

8.9. The part costs of technical staff (e.g. statisticians, etc.) spending a portion of their time directly on the project will be allowable with justification and are classified as DIRECTLY ALLOCATED costs.

8.10. Kidney Research UK will not contribute to the Principal Investigator's or Co-Investigators' salaries but does wish to be informed of the amount of time that they would be likely to spend on the research and how these costs will be covered.

8.11. Items of capital expenditure in excess of £10,000 will require special justification in relation to the planned work.

13. Excess Treatment Costs (ETCs) and the Schedule of Events Cost Attribution Template (SoECAT)

13.1. ETCs are the patient care costs that would continue to be incurred if the patient care service in question continued to be provided after the R&D activity has stopped.

13.2. Researchers applying for clinical research grants must complete a SoECAT to be eligible for the National Institute for Health Research (NIHR) portfolio and the support this provides.

NIHR has released an online version of the SoECAT, which from 1 April 2023 supersedes the previous Microsoft Excel version of the SoECAT. NIHR guidance states:

Please be aware that if your planned project includes the recruitment of participants, your application should be accompanied with the Funder Export from the online SoECAT, obtainable via the NIHR Central Portfolio Management System (CPMS).

In order to create a SoECAT, you will need to create an account in CPMS. After creating the account, you will need to login to CPMS to activate this account. If any assistance is required in creating the account, please refer to our [NIHR] *user guide. Once your account has been created and is active, you can proceed.*

Guidance for the completion of the SoECAT by the applicant is present in the online tool to assist at each page and stage of the application process and further details can be found on the Online SoECAT Guidance page. There is also an Online SoECAT Guidance Module which includes video tutorials and linked resources (an NIHR Learn account is required to access and enrol onto the module) and a helpful Study Representative - Online SoECAT Top Tips infographic.

Please note that completion of the ***SoECAT may not be necessary*** *when applying for funding to support: overarching programmes with no specific research study protocol, infrastructure, fellowships, anything where the grant is to be used for direct employment of a member of staff or purchase of an asset, and data or diagnostic reviews where recruitment data is not collected. Such applications should be submitted with supporting documentation to explain why a SoECAT was not submitted in this instance.*

13.3. Do NOT include these costs in your application to us as part of your budget – the NHS has responsibility for meeting them.

13.4. For more detailed information, please see our website.

Start Up Grant

Additional Conditions specific to a Start Up Grant, which form part of these Regulations and Conditions, follow below:

1. Eligibility

1.5. Applicants must be employed in a role where their salary is already covered for the period of the award (e.g. NHS employee, university tenured).

1.6. The maximum Start Up Grant available is £40,000 and may be spread over a one- or anything up to two-year period. A term of fewer than 12 months will require strong justification. Extensions and supplementary grants are not available. In exceptional circumstances Kidney Research UK will consider a 'no-cost' extension to ensure the original aims of the grant are achieved.

4. Reports and Publications

4.8. Grant recipients are required to complete a Progress Report after three months. An Interim Report will be required at one year where the term of the grant exceeds a year.

7. Employment of Staff

7.4. A full CV for staff appointed such as post-doctoral researcher or research assistant must be submitted to Kidney Research UK upon appointment.

7.5. Applicants must not use a grant to enable a staff member to work for a higher degree or diploma, which requires more than the maximum period of the grant or exceeds the maximum grant. In any case the higher degree fees are not eligible on this grant type.

8. Items of Expenditure

8.8. The costs of staff, such as research assistants and technicians, working full– or part-time on a project, and the cost of identified items needed for carrying out the research, such as laboratory or clinical consumables, field work costs, capital and equipment costs etc., are covered and are classified as DIRECTLY INCURRED costs.

8.9. The part costs of technical staff (e.g. statisticians, etc.) spending a portion of their time directly on the project will be allowable with justification and are classified as DIRECTLY ALLOCATED costs.

8.10. Kidney Research UK will not contribute to the Principal Investigator's or Co-Investigators' salaries but does wish to be informed of the amount of time that they would be likely to spend on the research and how these costs will be covered.

8.11. Items of capital expenditure in excess of £10,000 will require special justification in relation to the planned work.

13. Excess Treatment Costs (ETCs) and the Schedule of Events Cost Attribution Template (SoECAT)

13.1. ETCs are the patient care costs that would continue to be incurred if the patient care service in question continued to be provided after the R&D activity has stopped.

13.2. As from 1 October 2018, researchers applying for clinical research grants must complete a SoECAT to be eligible for the National Institute for Health Research (NIHR) portfolio and the support this provides.

NIHR has released an online version of the SoECAT, which from 1 April 2023 supersedes the Microsoft Excel version of the SoECAT (in use from October 2018). NIHR guidance states:

Please be aware that if your planned project includes the recruitment of participants, your application should be accompanied with the Funder Export from the online SoECAT, obtainable via the NIHR Central Portfolio Management System (CPMS).

In order to create a SoECAT, you will need to create an account in CPMS. After creating the account, you will need to login to CPMS to activate this account. If any assistance is required in creating the account, please refer to our [NIHR] *user guide. Once your account has been created and is active, you can proceed.*

Guidance for the completion of the SoECAT by the applicant is present in the online tool to assist at each page and stage of the application process and further details can be found on the Online SoECAT Guidance page. There is also an Online SoECAT Guidance Module which includes video tutorials and linked resources (an NIHR Learn account is required to access and enrol onto the module) and a helpful Study Representative - Online SoECAT Top Tips infographic.

Please note that completion of the ***SoECAT may not be necessary*** *when applying for funding to support: overarching programmes with no specific research study protocol, infrastructure, fellowships, anything where the grant is to be used for direct employment of a member of staff or purchase of an asset, and data or diagnostic reviews where recruitment data is not collected. Such applications should be submitted with supporting documentation to explain why a SoECAT was not submitted in this instance.*

13.3. Do NOT include these costs in your application to us as part of your budget – the NHS has responsibility for meeting them.

13.4. For more detailed information, please see our website.

Senior Fellowship (non-clinical)

Additional Conditions specific to a Senior Fellowship (non-clinical), which form part of these Regulations and Conditions, follow below:

1. Eligibility

1.5. In exceptional circumstances Kidney Research UK may agree to a period of up to one year in an appropriate overseas laboratory during the term of the Fellowship. Scientific justification would need to be provided in the application form at the outset.

1.6. Kidney Research UK's Senior Fellows will be non-clinical graduates with extensive post-doctoral experience and a sustained ability to conduct independent research with a commitment to study areas relevant to Kidney Research UK's research strategy.

1.7. Kidney Research UK Senior Fellowships are awarded following shortlisting and interview. Sponsors are asked to consider carefully the relationship of the research programme to the abilities of the candidate to gain independence and must ensure that the proposed research programme is appropriate to the candidate's future career step. The Head of Department/Sponsor is required to make a commitment of space and facilities for the Fellow. A statement on likelihood of a tenure position upon successful completion should be included in the application.

1.8. The maximum grant available is for a five-year period. The salary should be based on the appropriate institutional scale and in addition an annual allowance of £24,000 is made for consumables costs. Supplementary grants are not available. In exceptional circumstances Kidney Research UK will consider a 'no-cost' extension to ensure the original aims of the grant are achieved.

4. Reports and Publications

4.8. Grant recipients are required to complete Annual Reports, which must include a lay summary.

7. Employment of Fellow

7.4. The Fellow's salary is based on the institutional scale and Kidney Research UK will meet the cost of the employer's share of superannuation and National Insurance contributions. The projected salary costs shown in the application should reflect the amount Kidney Research UK is likely to be invoiced over the period of the award. Kidney Research UK will, in addition, pay a consumables allowance of £24,000 per annum.

8. Items of Expenditure

8.8. The Fellow's salary and a consumables allowance of £24,000 per annum to cover identified items needed for carrying out the research - such as costs related to laboratory or clinical consumables, and field work, including necessary travel to multi-sites for the study - are covered and are classified as DIRECTLY INCURRED costs.

8.9. No DIRECTLY ALLOCATED costs are allowable on Fellowships.

8.10. Kidney Research UK will not contribute to the Sponsor's salary but does wish to be informed of the amount of time that they would be likely to spend on the Fellowship and how these costs will be covered.

14. Career Development

14.1. Kidney Research UK expects that Fellows will attend the annual Driving Discoveries event as part of the conditions of this award unless a valid reason for non-attendance is provided.

Intermediate Fellowship (non-clinical)

Additional Conditions specific to an Intermediate Fellowship (non-clinical), which form part of these Regulations and Conditions follow below:

1. Eligibility

1.5. In exceptional circumstances Kidney Research UK may agree to a period of up to one year in an appropriate overseas laboratory during the term of the Fellowship. Scientific justification would need to be provided in the application form at the outset.

1.6. Kidney Research UK Intermediate Fellows will be non-clinical graduates with at least two years' post-doctoral experience with a commitment to study areas relevant to Kidney Research UK's research strategy. Applicants with greater than six years' post-doctoral experience should provide an explanation about their timing for seeking an independent fellowship.

1.7. Kidney Research UK Intermediate Fellowships are awarded following shortlisting and interview. Supervisors are asked to consider carefully the relationship of the research programme to the abilities and training needs of the candidate and must ensure that the proposed research programme is appropriate to the candidate's current experience and future career plan. The Head of Department/Sponsor is required to make a commitment of space and facilities for the Fellow.

1.8. The maximum grant available is for a three-year period. The salary should be based on the appropriate institutional scale and in addition an annual allowance of £15,000 is made for consumables costs. Supplementary grants are not available. In exceptional circumstances Kidney Research UK will consider a 'no-cost' extension to ensure the original aims of the grant are achieved.

4. Reports and Publications

4.8. Grant recipients are required to complete Annual Reports, which must include a lay summary.

7. Employment of Fellow

7.4. The Fellow's salary is based on the institutional scale and Kidney Research UK will meet the cost of the employer's share of superannuation and National Insurance contributions. The projected salary costs shown in the application should reflect the amount Kidney Research UK is likely to be invoiced over the period of the award. Kidney Research UK will, in addition, pay a consumables allowance of £15,000 per annum.

8. Items of Expenditure

8.8. The Fellow's salary and a consumables allowance of £15,000 per annum to cover identified items needed for carrying out the research - such as costs related to laboratory or clinical consumables, and field work, including necessary travel to multi-sites for the study - are covered and are classified as DIRECTLY INCURRED costs.

8.9. No DIRECTLY ALLOCATED costs are allowable on Fellowships.

8.10. Kidney Research UK will not contribute to the Supervisors' salaries but does wish to be informed of the amount of time that they would be likely to spend on the Fellowship and how these costs will be covered.

14. Career Development

14.1. Kidney Research UK expects that Fellows will attend the annual Driving Discoveries event as part of the conditions of this award, unless a valid reason for non-attendance is provided.

Training Fellowship (clinical)

Additional Conditions specific to a Training Fellowship (clinical), which form part of these Regulations and Conditions, follow below:

1. Eligibility

1.5. In exceptional circumstances Kidney Research UK may agree to a period of up to one year in an appropriate overseas laboratory during the term of the Fellowship. Scientific justification would need to be provided in the application form at the outset.

1.6. Kidney Research UK Training Fellows will be renal medical graduates wishing to gain specialised training in renal research with a commitment to study areas relevant to Kidney Research UK's research strategy.

1.7. Kidney Research UK Training Fellowships are awarded following shortlisting and interview. Supervisors are asked to consider carefully the relationship of the research programme to the abilities and training needs of the candidate and must ensure that the proposed research programme is appropriate to the candidate's current experience and future career plan. The Head of Department/Sponsor is required to make a commitment of space and facilities for the Fellow.

1.8. The maximum grant available is for a three-year period. The salary should be based on the appropriate NHS or university scale. Kidney Research UK expects the salary to be pinned when coming out of programme, and will not consider or support above an ST5 resident doctors in training grade. In addition, an annual allowance of £15,000 is made for consumables costs. Supplementary grants are not available. In exceptional circumstances Kidney Research UK will consider a 'no-cost' extension to ensure the original aims of the grant are achieved.

4. Reports and Publications

4.8. Grant recipients are required to complete Annual Reports, which must include a lay summary.

7. Employment of Fellow

7.4. All holders of Training Fellowships should hold an honorary clinical contract at the appropriate level by the employing authority and related NHS Trust.

7.5. The Fellow's salary is based on the university or NHS scale, and Kidney Research UK will meet the cost of the employer's share of superannuation and National Insurance contributions. The projected salary costs shown in the application should reflect the amount Kidney Research UK is likely to be invoiced over the period of the award. Kidney Research UK will, in addition, pay a consumables allowance of £15,000 per annum.

7.6. Applicants are required to apply for a higher degree (Master, DPhil, or PhD), and it should not require more than the maximum period of the grant.

7.7. Kidney Research UK will pay 'home' higher degree tuition fees where applicable.

7.8. Kidney Research UK will not agree to more than four hours each week spent on clinical duties, except when additional clinical time is essential to the research project or essential to the minimum requirements for higher training in the candidate's specialty. As such, Kidney Research UK will not cover the salary portion for any costs associated with clinical duties.

8. Items of Expenditure

8.8. The Fellow's salary subject to clause 1.8, higher degree tuition fees and a consumables allowance of £15,000 per annum to cover identified items needed for carrying out the research such as laboratory or clinical consumables, field work (including necessary

travel to multi-sites for the study) costs are covered and are classified as DIRECTLY INCURRED costs.

8.9. No DIRECTLY ALLOCATED costs are allowable on Fellowships.

8.10. Kidney Research UK will not contribute to the Supervisors' salaries but does wish to be informed of the amount of time that they would be likely to spend on the Fellowship and how these costs will be covered.

13. Excess Treatment Costs (ETCs) and the Schedule of Events Cost Attribution Template (SoECAT)

13.1. ETCs are the patient care costs that would continue to be incurred if the patient care service in question continued to be provided after the R&D activity has stopped.

13.2. As from 1 October 2018, researchers applying for clinical research grants must complete a SoECAT to be eligible for the National Institute for Health Research (NIHR) portfolio and the support this provides.

NIHR has released an online version of the SoECAT, which from 1 April 2023 supersedes the Microsoft Excel version of the SoECAT (in use from October 2018). NIHR guidance states:

Please be aware that if your planned project includes the recruitment of participants, your application should be accompanied with the Funder Export from the online SoECAT, obtainable via the NIHR Central Portfolio Management System (CPMS).

In order to create a SoECAT, you will need to create an account in CPMS. After creating the account, you will need to login to CPMS to activate this account. If any assistance is required in creating the account, please refer to our [NIHR] *user guide. Once your account has been created and is active, you can proceed.*

Guidance for the completion of the SoECAT by the applicant is present in the online tool to assist at each page and stage of the application process and further details can be found on the Online SoECAT Guidance page. There is also an Online SoECAT Guidance Module which includes video tutorials and linked resources (an NIHR Learn account is required to access and enrol onto the module) and a helpful Study Representative - Online SoECAT Top Tips infographic.

*Please note that completion of the **SoECAT may not be necessary** when applying for funding to support: overarching programmes with no specific research study protocol, infrastructure, fellowships, anything where the grant is to be used for direct employment of a member of staff or purchase of an asset, and data or diagnostic reviews where recruitment data is not collected. Such applications should be submitted with supporting documentation to explain why a SoECAT was not submitted in this instance.*

13.3. Do NOT include these costs in your application to us as part of your budget – the NHS has responsibility for meeting them.

13.4. For more detailed information, please see our website.

14. Career Development

14.1. Kidney Research UK expects that Fellows will attend the annual Driving Discoveries event as part of the conditions of this award, unless a valid reason for non-attendance is provided.

Clinical PhD Fellowship (Allied Health Professionals, Psychologists, Nurses, Pharmacists)

Additional Conditions specific to a Clinical PhD Fellowship for Allied Health Professionals (AHPs) and Psychologists, Nurses and Pharmacists, which form part of these Regulations and Conditions, follow below:

1. Eligibility

1.5. In exceptional circumstances Kidney Research UK may agree to a period of up to one year in an appropriate overseas facility during the term of the Fellowship. Scientific justification would need to be provided in the application form at the outset.

1.6. AHP, Psychologist, Nurse and Pharmacist Fellows will include AHPs, psychologists, nurses and pharmacists who wish to specialise in renal research with a commitment to study areas relevant to Kidney Research UK's research strategy. They will have completed their professional training and hold a membership of an appropriate body and now wish to achieve a PhD.

1.7. Research experience post registration with the appropriate body is not a mandated requirement; however, this may be advantageous to candidates.

1.8. Kidney Research UK Clinical PhD Fellowships for Allied Health Professionals and Psychologists, Nurses and Pharmacists are awarded following shortlisting and interview. Supervisors are asked to consider carefully the relationship of the research programme to the abilities and training needs of the candidate and must ensure that the proposed research programme is appropriate for the candidate's current experience and future career plan. There should be a clear, appropriate training plan outlined in the application. The Head of Department/Sponsor is required to make a commitment of space and facilities for the Fellow.

1.9. The maximum grant available is for a three-year period, which may be either full- or part-time. The salary should be based on the appropriate NHS or university scale and in addition an annual allowance of £15,000 is made for consumables costs. Supplementary grants are not available. In exceptional circumstances Kidney Research UK will consider a 'no-cost' extension to ensure that the original aims of the grant are achieved.

4. Reports and Publications

4.8. Grant recipients are required to complete Annual Reports, which must include a lay summary.

7. Employment of Fellow

7.4. All holders of AHP and Psychologist, Nurse and Pharmacist Fellowships should hold an honorary clinical contract at the appropriate level by the employing authority and related NHS Trust.

7.5. The Fellow's salary is based on the university or NHS scale, and Kidney Research UK meets the costs of the employer's share of superannuation and National Insurance contributions, capped at the equivalent of NHS Band 8a. The projected salary costs shown in the application should reflect the amount Kidney Research UK is likely to be invoiced over the period of the award. Kidney Research UK will, in addition, pay a consumables allowance of up to £15,000 per annum.

7.6. Applicants are required to register for a PhD able to be completed by the maximum term of the grant, whether undertaken as full-time or part-time.

7.7. Kidney Research UK will pay 'home' higher degree tuition fees where applicable.

7.8. Kidney Research UK will not agree to more than four hours each week spent on clinical duties, except where additional clinical time is essential for higher training in the candidate's specialty. As such, Kidney Research UK will not cover the salary portion for any costs associated with clinical duties.

8. Items of Expenditure

8.8. The Fellow's salary, capped at the equivalent of NHS Band 8a, higher degree tuition fees and a consumables allowance of £15,000 per annum to cover identifiable items needed for carrying out the research - such as laboratory or clinical consumables, and field work, including necessary travel to multi-sites for the study - are covered and are classified as DIRECTLY INCURRED costs.

8.9. No DIRECTLY ALLOCATED costs are allowable on Fellowships.

8.10. Kidney Research UK will not contribute to the Sponsor's or Supervisor's salaries but does wish to be informed of the amount of time that they would be likely to spend on the Fellowship and how these costs will be covered.

13. Excess Treatment Costs (ETCs) and the Schedule of Events Cost Attribution Template (SoECAT)

13.1. ETCs are the patient care costs that would continue to be incurred if the patient care service in question continued to be provided after the R&D activity has stopped.

13.2. As from 1 October 2018, researchers applying for clinical research grants must complete a SoECAT to be eligible for the National Institute for Health Research (NIHR) portfolio and the support this provides.

NIHR has released an online version of the SoECAT, which from 1 April 2023 supersedes the Microsoft Excel version of the SoECAT (in use from October 2018). NIHR guidance states:

Please be aware that if your planned project includes the recruitment of participants, your application should be accompanied with the Funder Export from the online SoECAT, obtainable via the NIHR Central Portfolio Management System (CPMS).

In order to create a SoECAT, you will need to create an account in CPMS. After creating the account, you will need to login to CPMS to activate this account. If any assistance is required in creating the account, please refer to our [NIHR] *user guide. Once your account has been created and is active, you can proceed.*

Guidance for the completion of the SoECAT by the applicant is present in the online tool to assist at each page and stage of the application process and further details can be found on the Online SoECAT Guidance page. There is also an Online SoECAT Guidance Module which includes video tutorials and linked resources (an NIHR Learn account is required to access and enrol onto the module) and a helpful Study Representative - Online SoECAT Top Tips infographic.

*Please note that completion of the **SoECAT may not be necessary** when applying for funding to support: overarching programmes with no specific research study protocol, infrastructure, fellowships, anything where the grant is to be used for direct employment of a member of staff or purchase of an asset, and data or diagnostic reviews where recruitment data is not collected. Such applications should be submitted with supporting documentation to explain why a SoECAT was not submitted in this instance.*

13.3. Do NOT include these costs in your application to us as part of your budget – the NHS has responsibility for meeting them.

13.4. For more detailed information, please see our website.

14. Career Development

14.1. Kidney Research UK expects that Fellows will attend the annual Driving Discoveries event as part of the conditions of this award, unless a valid reason for non-attendance is provided.

PhD Studentship (non-clinical)

Additional Conditions specific to a PhD Studentship, which form part of these Regulations and Conditions, follow below:

1. Eligibility

1.5. PhD Studentships will be for post-graduates with a commitment to focusing on areas relevant to Kidney Research UK's research strategy.

1.6. Kidney Research UK PhD Studentships are awarded to UK higher education institutes for research studentships leading to PhD and held within an established research group that undertake programmes of renal research. Supervisors are asked to consider carefully the relationship of the research programme to the abilities and training needs of the candidate and must ensure that the proposed research programme is appropriate to the candidate's current experience and future career plan. The Head of Department/Sponsor is required to make a commitment of space and facilities for the Student.

1.7. The maximum grant available is for three and a half years, the final six months of which are expected to be used to write the thesis; salary will be covered for the final six months but no fees or consumables will be allowed. The salary should be based on the appropriate MRC scale and in addition an annual allowance of £5,000 up to a total of £15,000 is made for consumables costs. Supplementary grants are not available. In exceptional circumstances Kidney Research UK will consider a 'no-cost' extension to ensure the original aims of the grant are achieved.

1.8. Candidates proposed, via a Kidney Research UK nomination form, to take up the award should have recently graduated with a good Honours Degree; only in exceptional circumstances will a candidate with a degree below the II(i) level be considered.

1.9. At the discretion of Kidney Research UK, and following discussion in advance, we may consider part-time PhD Studentships.

4. Reports and Publications

4.8. Towards the end of the first and second years of the award, the student's supervisor is required to submit to Kidney Research UK:

(i) a report on the student and project containing sufficient detail to indicate the progress so far made and the proposed future programme of training; and,

(ii) a clear recommendation whether or not the award should be continued.

On receipt of such satisfactory reports Kidney Research UK will confirm the following year's funding.

4.9. The Final Report must confirm that a thesis has been successfully submitted.

7. Employment of Student

7.4. The student's stipend is based on the Medical Research Council scale. The projected salary costs shown in the application should reflect the amount Kidney Research UK is likely to be invoiced over the period of the award. Kidney Research UK will, in addition, pay an allowance of £5,000 per annum for consumables, up to a maximum of £15,000.

7.5. Students must apply to work for a higher degree or diploma, and it should not require more than the maximum period of the grant. Kidney Research UK will pay home higher degree tuition fees where applicable. Kidney Research UK will only pay fees in excess of £9,000 with strong justification.

7.6. Supervisors whose progress or conduct does not satisfy Kidney Research UK are liable to have their awards terminated and supervisors are required to inform Kidney Research UK immediately if at any time they consider a student to be unsatisfactory, if the student ceases training, or declares their intention of doing so.

7.7. Should a student take up a salaried post whilst continuing to work for his/her/their doctorate, the award will be terminated and no further payments will be made.

8. Items of Expenditure

8.8. The student's stipend, higher degree tuition fees and a consumables allowance of £5,000 per annum up to a total of £15,000 to cover identified items needed for carrying out the research - such as costs related to laboratory or clinical consumables, and field work, including necessary travel to multi-sites for the study - are covered and are classified as DIRECTLY INCURRED costs.

8.9. No DIRECTLY ALLOCATED costs are allowable on PhD Studentships.

8.10. Kidney Research UK will not contribute to the Supervisor's salaries but does wish to be informed of the amount of time that they would be likely to spend on the PhD Studentship and how these costs will be covered.

14. Career Development

14.1. Kidney Research UK expects that awardees will attend the annual Driving Discoveries event as part of the conditions of this award, unless a valid reason for non-attendance is provided.

Master of Research (MRes)

Additional Conditions specific to an MRes grant, which form part of these Regulations and Conditions, follow below:

1. Eligibility

1.5. MRes grants will be for post-graduates with a commitment to focusing on areas relevant to Kidney Research UK's research strategy.

1.6. Kidney Research UK MRes grants are awarded to AHPs, psychologists, nurses and pharmacists.

1.7. Applicants must not hold or be due to complete a Master's or PhD degree.

1.8. Applicants must be applying for or enrolled in an MRes programme at a UK university.

1.9. Applicants must ensure that their MRes course includes formal research training and a research component (e.g. dissertation or project) that comprises at least 60% of the total course credits.

1.10. Applicants must ensure that their research project is relevant to the needs of kidney disease patients.

1.11. Applicants must ensure that they have a supervisor from within their clinical renal field. This may be in addition to their academic supervisor if necessary.

1.12. The maximum grant available is for two years. The grant provides a fixed award of £25,000, including consumables, in addition to the course fee. In exceptional circumstances Kidney Research UK will consider a 'no-cost' extension to ensure the original aims of the grant are achieved.

1.13. Applicants should have recently graduated with a good Honours Degree; only in exceptional circumstances will a candidate with a degree below the II(i) level be considered.

4. Reports and Publications

4.8. Grant recipients are required to complete a Progress Report every three months throughout the duration of the grant, to include:

(i) a summary of academic and research progress project); and,

(ii) confirmation of continued registration on the course.

On receipt of such satisfactory reports Kidney Research UK will confirm the following quarter's funding.

7. Employment of Student

7.4. All holders of MRes grants should hold an honorary clinical contract at the appropriate level by the employing authority and related NHS Trust.

8. Items of Expenditure

8.8. The grant provides an award of £25,000, in addition to the course fee, to cover living expenses (e.g. rent, utilities, food, transport) and eligible research-related costs. These may include laboratory consumables, software or specialist licences, analytical services, and costs associated with participant involvement (e.g. incentives, reimbursements). Supplementary grants are not available.

This is a fixed grant: Kidney Research UK will not provide any additional funding.

14. Career Development

14.1. Kidney Research UK expects that awardees will attend the annual Driving Discoveries event as part of the conditions of this award, unless a valid reason for non-attendance is provided.

APPENDIX 1
INTELLECTUAL PROPERTY PROVISIONS

1 Definitions

"Application"	the application for funding of a research or other project submitted to Kidney Research UK by any third party
"Charitable Objectives"	the charitable objectives of Kidney Research UK, including without limitation the following: the advancement and promotion of research into the kidney and urinary tract and into the diseases that affect them ("kidney diseases"), including without limitation epidemiological, clinical and basic research directed towards understanding the processes responsible for kidney disease, as well as the development and evaluation of treatment in a manner which can best serve those who may benefit; the relief and care of persons suffering from kidney disease; and, the education of the public about kidney disease and the needs of persons suffering from it
"Direct Costs"	those costs incurred by the Researcher(s) that directly relate to the protection of the Research Intellectual Property Rights (IPR) (including without limitation patent filing fees) together with any other costs which Kidney Research UK agrees in writing may be deducted from the Gross Income to arrive at the Net Income
"Gross Income"	all monies and other remuneration received by the Researcher(s) in connection with the exploitation of the Research IPR without any deduction, including without limitation: licence, signing and option fees; royalties and commissions; milestone and other performance payments; benefits in kind; and, amounts invoiced in respect of the supply of goods or services making use of the Research IPR, but excluding any remuneration received by the Individual Researcher(s) from the Research Organisation by way of revenue sharing arrangements offered by the Research Organisation to its employees
"Intellectual Property Rights"	all intellectual and industrial property rights, including without limitation, patents, rights in know-how, trademarks, registered designs, models, unregistered design rights, unregistered trademarks and copyright (whether in drawings, plans, specifications, designs and computer software or otherwise), database rights, topography rights, any rights in any invention, discovery or process, and applications for and rights to apply for any of the foregoing, in each case in the United Kingdom and throughout the world
"Net Income"	the Gross Income after deduction of Direct Costs

"Project"	the project to be carried out by the Researcher(s), which is described in the Application and which is to be funded by Kidney Research UK (whether in whole or in part)
"Research IPR"	has the meaning given to the term in clause 2.1 below
"Quarter"	the period of three months commencing on the date of the Grant Award and each consecutive period of three months thereafter, or any shorter period commencing on a day immediately following the end of a Quarter and ending on the termination of the Award
"Research Organisation"	the Host Institution or Organisation described in the Application
"Researcher(s)"	the Research Organisation and the Individual Researcher(s)
"Individual Researcher(s)"	the Individual Researcher(s) described in the Application

2 Ownership and Exploitation of Funded IPR Ownership of IPR

2.1 All Intellectual Property Rights created by or on behalf of the Researcher(s) in connection with the Project (the "Research IPR") will be owned by the Research Organisation subject to the terms and conditions of the Grant Award.

2.2 The Research Organisation shall:

2.2.1 have in place procedures for the identification, protection, management and exploitation of the Research IPR which shall comply with the provisions of this Appendix 1 and which shall be made available to Kidney Research UK on request; and

2.2.2 ensure compliance with such procedures by all persons engaged by it in connection with the Project.

2.3 Kidney Research UK is under an obligation to ensure that the useful results of any research activity which it funds in whole or in part are applied for the public good, the advancement of healthcare in renal medicine, and otherwise in connection with its Charitable Objectives. The Researcher(s) will provide reasonable assistance to Kidney Research UK in connection with the achievement of such aims and will grant to Kidney Research UK or procure the grant to Kidney Research UK of a perpetual, irrevocable, worldwide, royalty free, non-exclusive licence to use the Research IPR for non-commercial, promotional and/or educational purposes in connection with its Charitable Objectives. Such licence to include the right to sub-licence to other not for profit research organisations in receipt of Kidney Research UK funding solely for non-commercial purposes in connection with Kidney Research UK's Charitable Objectives. If Kidney Research UK grants such a sub-licence to a third party it shall promptly notify the Researcher(s).

2.4 Should the Research Organisation, or its technology transfer company if relevant, decide not to proceed with protection and commercialisation of any Research IPR then it shall inform Kidney Research UK and, subject to any relevant third-party rights and consents, Kidney Research UK shall have the right, but not the duty, to protect and exploit such Research IPR. Kidney Research UK shall confirm to the Research Organisation, or its technology transfer company if relevant, within a reasonable period (and bearing in mind patent deadlines) whether it wishes to take on the protection and commercialisation of such Research IPR so that the Research Organisation may offer it back to other relevant funders or the inventors as appropriate. If Kidney Research UK decides to exercise its right to take on the protection and commercialisation of such Research IPR the Research Organisation, or its technology transfer company if relevant, will assign the Research IPR to Kidney Research UK or to a nominee notified in writing to the Research Organisation, or its technology transfer company if relevant.

2.5 The Researcher(s) shall ensure that all persons working on the Project (including employees, students, visiting staff and subcontractors) are employed, engaged or retained on terms that vest in the Research Organisation all Research IPR.

Exploitation of IPR

2.6 The Research Organisation will provide to Kidney Research UK upon request details of all Research IPR, take reasonable steps to protect the Research IPR and use its reasonable endeavours to exploit the Research IPR to an appropriate extent. The Researcher(s) will seek approval from Kidney Research UK of his/her/their plans for exploitation of the Research IPR (which approval may not be unreasonably withheld or delayed by Kidney Research UK) and will exploit the Research IPR in accordance with the plans approved by Kidney Research UK.

2.7 Kidney Research UK recognises that the Researcher(s) may want to seek registered intellectual property protection for the Research IPR and accordingly Kidney Research UK will not make public any Research IPR for a period of sixty (60) days from disclosure of that Research IPR to Kidney Research UK where at the time of disclosure the Research Organisation indicates in writing to Kidney Research UK that it will be applying for registered intellectual property protection in respect of the disclosed Research IPR.

2.8 If the Researcher(s) does/do not in the reasonable opinion of Kidney Research UK sufficiently exploit and protect the Research IPR, Kidney Research UK may serve written notice on the Research Organisation of this opinion and in such notice indicate what it wishes to be done to so sufficiently exploit and protect. If after six (6) months of such notice being served the Research Organisation has not in the reasonable opinion of Kidney Research UK complied with the notice then the Research Organisation will assign the Research IPR (together with any other IPR subsequently derived from the Research IPR) to Kidney Research UK or to a nominee notified in writing to the Research Organisation by Kidney Research UK. To the extent that any Research IPR can be assigned to Kidney Research UK without any formal assignment or other written document then the same will be automatically assigned to Kidney Research UK upon expiry of the six (6) month period referred to above.

2.9 If the Research Organisation is required to grant an assignment under clause 2.7 then it will:

2.9.1 do all such further things and execute all such further documents as Kidney Research UK may require to effect and confirm such assignment; and

2.9.2 provide assistance reasonably requested by Kidney Research UK to assist Kidney Research UK in the protection and exploitation by Kidney Research UK of the Research IPR.

2.10 The Researcher(s) will in respect of the exploitation of the Research IPR provide to Kidney Research UK:

2.10.1 details of all Research IPR (and any IPR derived from the same), including without limitation details of any patent applications filed in respect of such IPR together with progress of all such applications;

2.10.2 details (together with copies) of all agreements entered into which relate to the development and/or exploitation of the Research IPR subject to third party confidentiality obligations;

2.10.3 within twenty-one (21) days of the end of each Quarter or such other period as Kidney Research UK approves, an exploitation report (in such format reasonably requested by Kidney Research UK) detailing the commercialisation activities for the previous Quarter, such report to include but not be limited to a statement setting out the financial information for the Project for the previous Quarter including without limitation Gross Income, Net Income and Direct Costs; and

2.10.4 arrange and hold update meetings with Kidney Research UK at least once every six (6) months.

2.11 The Researcher(s) shall use all reasonable endeavours to ensure that no rights are granted on any Research IP in favour of any third-party commercial organisations providing materials or compounds ("Third Party Materials") to the Researcher(s) or any Individual Researcher which are likely to:

2.11.1 restrict or delay publication unreasonably; or

2.11.2 restrict the commercial exploitation of the Research IP;

provided that Kidney Research UK acknowledges that such third-party commercial organisations often require exclusive rights to any Intellectual Property Rights arising from use of the Third Party Materials and this requirement may be non-negotiable. Where Research IP arises from research linked directly or indirectly to use of a Third Party Material, the Researcher(s) shall offer a time-limited option for the third-party commercial organisation to enter into a revenue generating licence in respect of the Research IP arising in respect of the Third Party Materials with the Researcher(s) on terms to be approved by Kidney Research UK.

3 Revenue Sharing

3.1 In consideration of Kidney Research UK providing funding for the Project, the Researcher(s) will, unless agreed otherwise in writing by Kidney Research UK, share with Kidney Research UK the Net Income received by the Researcher(s) as follows:

Organisation	**Proportion of Net Income**
Researcher(s)	50%
Kidney Research UK	50%

3.2 The Researcher(s) will in respect of each Quarter pay to Kidney Research UK an amount equal to the proportion of the Net Income to be shared with Kidney Research UK in accordance with clause 3.1. Payment to Kidney Research UK will be made by the Research Organisation within thirty (30) days of the end of each Quarter in respect of the Net Income received by the Researcher(s) during that Quarter.

3.3 The Researcher(s) agree(s) to keep true and accurate records and accounts relating to the Gross Income, Direct Costs and Net Income. These records and accounts will upon request and reasonable notice being provided by Kidney Research UK be open at all reasonable times during business hours for inspection by Kidney Research UK or its duly authorised agent.

4 Restrictions on Dealings with the Research IPR

4.1 With the exception of assignment to the Research Organisation's technology transfer company and subject to 4.3 below, the Researcher(s) may not at any time assign, charge or encumber the Research IPR (or any part of it) or assign or subcontract the whole or any part of the Grant Award, without the prior written consent of Kidney Research UK, such consent to be given at the absolute discretion of Kidney Research UK.

4.2 If Kidney Research UK consents to an assignment under clause 4.1, the Researcher(s) shall procure that the assignee is bound by substantially the same terms as contained in the Grant Award and shall not enter into any assignment without the written approval of Kidney Research UK of the terms of such assignment. The Research Organisation shall be free to assign any Research IPR to its technology transfer company without prior reference to Kidney Research UK but if it so assigns the Research IPR, the Researcher(s) shall procure that its technology transfer company shall:

4.2.1 be bound by substantially the same terms as contained in this Appendix 1;

4.2.2 execute a letter in favour of Kidney Research UK (in a form to be provided by Kidney Research UK) to acknowledge that any assignment to it of any Research IPR is subject always to Kidney Research UK's rights set out in this Appendix 1; and

4.2.3 not enter into any further assignment of the Research IPR without written approval of Kidney Research UK of the terms of such assignment, such approval not to be unreasonably withheld.

APPENDIX 2
POLICY ON THE USE OF ANIMALS IN FUNDED RESEARCH

Animal experimentation is essential for some types of biomedical research and the process is regulated by UK law. All research involving the use of animals requires a licence from the Home Office under the Animals (Scientific Procedures) Act 1986. Under this Act all the researchers, research establishments and procedures through which the experiments are performed must be licensed by the Home Office. All these processes are rigorously policed by the Home Office Inspectorate.

Kidney Research UK approves research involving animal experimentation when there is no suitable or practical alternative, however, we are not open to considering applications involving higher species (e.g. non-human primates, cats, dogs or equine). Kidney Research UK strongly endorses the NC3Rs principles of Replacement, Reduction, and Refinement, along with high animal welfare standards.

Kidney Research UK endorses the AMRC guidelines on animal research and as an AMRC member has signed up to the Concordat on Openness.

Grants will only be awarded when the researchers provide convincing arguments and abide by the best practice and legal requirements for research involving animals, which are not limited to the following clauses:

a) The research question being addressed is of sufficient importance and justifies the use of animals;

b) The use of animals is essential, and the question cannot be answered using alternative methods;

c) The experimental design is appropriate and should answer the research question;

d) The number of animals is the fewest necessary to answer the question posed, and the species chosen is appropriate by using the simplest possible, or least sentient, species of animal;

e) Home Office Regulations relating to pain and distress of the animals are adhered to absolutely at all times and suffering and distress are avoided as much as possible;

f) The experiments will only be performed on premises of the highest standards licensed by the Home Office;

g) In cases where a UK-funded researcher has a collaboration involving animal research overseas the collaborator and the research must adhere to high welfare standards, matching or exceeding the UK's strict *Animals (Scientific Procedures) Act 1986* (ASPA) principles.

h) Use is made of the ARRIVE guidelines when designing experiments and reporting is in accordance with these guidelines as much as possible, taking into account the specific editorial policies of the journals concerned;

i) Work involves the implementation of the principles in the guidance: Responsibility in the Use of Animals in Bioscience Research

POLICY ON THE INVOLVEMENT OF PATIENTS, CONTROL VOLUNTEERS OR HUMAN TISSUES IN FUNDED RESEARCH

Kidney Research UK requires that research involving patients and healthy volunteers (controls) is subject to approval by a UK Ethics Committee through the National Research Ethics Service (NRES) managed and regulated by the Health Research Authority (HRA) and requires a copy of a letter of approval before an award is activated.

Kidney Research UK requires that research involving human tissues and samples is conducted in accordance with all current legal and regulatory requirements.

This includes but is not limited to:

- appropriate approval from an Ethics Committee
- Human Tissue Authority (HTA) licence in place, compliance with the Human Tissue Act 2004
- appropriate informed consent from the patients or next of kin
- treatment of the information and data with appropriate respect and conformity to the UK General Data Protection Regulation, (UK GDPR), and the Data Protection Act 2018.

APPENDIX 3
POLICY ON USE OF 'Researchfish'

What is Researchfish?

Researchfish is a secure online system that gathers information directly from UK researchers about the outputs and outcomes from their grants. It is provided and fully supported by Elsevier (www.elsevier.com/products/researchfish) with inbuilt 'online' help functions, as well as bespoke videos and webinars to provide training and assistance to allow users to become 'au fait' in their use of *Researchfish*.

What is its purpose?

Stakeholders have come together jointly to streamline the process of collecting research output and outcome data into a simple, easy process thereby relieving the current 'multi-reporting' burden stakeholders place upon all researchers and to provide the opportunity for improved evaluation of research achievements by both funders and research institutes.

Researchers – asked once only instead of multiple times for the same information.

Funders – ability to collect outputs/outcomes and have good reporting and analysis functions.

Research Institutes – Institutional Data available for REF, etc.

Why should researchers use it?

It allows researchers to record their research outputs and outcomes from grants **just once**, assigning the information simply and easily to multiple funders. *(Over time as more funders use Researchfish, and UK Research Institutes are granted viewing access, it will reduce the time needed and duplication in reporting the same information to multiple funders and host institutes, thereby reducing the administrative burden and freeing up time for research activities.)*

It provides researchers with the ability to create a CV (CV Builder) which links with all their outputs automatically populating from their *Researchfish* portfolio, and links with the PubMed database ensuring accurate and reduced data entry for researchers managing their research outputs.

It allows funders accurately and robustly to gather data about the impact of the research they fund, which will ultimately allow them to demonstrate their impact further, leading to continued or increased levels of funding available to support their grant programmes.

What do researchers need to do?

All grant recipients are required to register and annually submit data through the online portal called *Researchfish* regarding research outputs and outcomes resulting from their award. *Researchfish* is available online 24 hours a day, 365 days a year. This system replaces the previous MRC e-val portal and now includes a wider group of funding bodies including Kidney Research UK. Data must be entered during and indefinitely following completion of the grant.

Kidney Research UK will use *Researchfish* to collect data longitudinally on outputs, outcomes and impacts arising from all our awarded grants, both during the period the grant is active and for subsequent years (indefinitely). Information you enter in *Researchfish* must be attributed to Kidney Research UK.

What happens to the data researchers enter and who has access to it?

Data remains secure with *Researchfish*, which conforms to the General Data Protection Regulation 2018.

Researchfish provides researchers with the ability to update their email contact details (via the 'Profile' section) for the purposes of interacting with *Researchfish*, and they can request amendment of any other details displayed in the system.

Data in a researcher's portfolio is not visible to any of the funding organisations using *Researchfish*, unless they attribute an output or outcome to a specific award and then the funder for that award would be able to see the data attributed to them. Funders cannot see outputs or outcomes attributed to other organisations.

Some funders will be allowing their data to be shared with Research Institutions, in which case **only** the funder's grants held by researchers at that research institution would become visible to that research institution. *(Kidney Research UK will be sharing, such that Research Institutions can see data entered by their own researchers, which will negate the necessity of duplicate reporting to funder and host institute by researchers. Presently research institutions are still running their own internal databases.)*

Researchfish holds information about the grants awarded to researchers drawn from Kidney Research UK's grants database, including your email address and your host institution. This information is used in combination with the output/outcome information reported via *Researchfish* to:

- Carry out analysis in relation to evaluation of research
- Operate *Researchfish*
- Report to host institutions (where sharing agreed)

Kidney Research UK will perform annual analysis of the outputs from all its awards collected in *Researchfish,* which will be used for reporting back to Kidney Research UK's Board of Trustees, and the Research Strategy Committee. Kidney Research UK may also publish the results of this analysis on its website or within charity publications or reports. Kidney Research UK will not be drawing comparisons between the outputs of individual researchers or institutions.

Kidney Research UK may share results from analyses carried out on data collected in *Researchfish* at the level of grant title, researcher name, institution name, study abstract, output information submitted.

Researchers should ensure that information submitted via *Researchfish* is **not confidential** and can be published.