

RESEARCH INNOVATION GRANT Regulations and Conditions for Applicants and Recipients

These Conditions apply to all applications for grant funding made to Kidney Research UK (the Charity) whose registered office is at Nene Hall, Lynch Wood Park, Peterborough PE2 6FZ and whose Registered Charity Numbers are 252892 and SC039245 and will apply to and be deemed to form part of any agreement under which Kidney Research UK provides funding to any third party for the carrying out of any research or other work (the "Grant Award").

These Conditions are, however, supplemental to any conditions appearing on any specific application form for funding provided by Kidney Research UK.

1. Eligibility

- All applicants must be resident in the United Kingdom and the project and employment must also take place in the United Kingdom.
- The maximum research innovation grant available is £40,000 and may be spread over a one- or two-year period. Extensions and supplementary grants are not available. In exceptional circumstances Kidney Research UK will consider a 'no-cost' extension to ensure the original aims of the grant are achieved.

2. Completion of the Application Form

- Applications must be laid out in accordance with the specified instructions on the appropriate Application Form, completed in full and paying particular attention to these guidelines.
- Applicants must adhere strictly to the deadline for the return of completed applications. One original, hard, signed copy, double sided, is required for submission. Late applications will not be accepted.
- Summary of proposed research. This section should outline the research, listing aims, methods and outcomes.
- Lay Title and Lay Summary. This section is important for the benefit of non-scientific persons, the public and donors who wish to understand the objectives, outcomes and benefits of the research. It is also necessary to allow Kidney Research UK to promote the award and outcomes to a wide audience. If it is not satisfactory then the Charity reserves the right to request a satisfactory replacement Lay Summary.
- Relevance. This section should define the relevance of the proposed research to the Charity's research objective:

 "Kidney Research UK wishes to support research that is relevant to the understanding of the kidneys and urinary tract system and the disease processes affecting them. There is a particular desire to support work leading to improved management, treatment and prevention of kidney disease and its complications."
- Note that guidelines on the length of applications should be strictly observed. Overlength applications may be returned without review for revision. Unpublished results that are essential for assessment of the application and/or quoted within the application may be provided as a single page appendix. These must be kept to a minimum and not exceed the one-page limit.
- Kidney Research UK must be notified of subsequent or simultaneous applications submitted to other grant giving bodies whilst this application is being considered.
- Any changes to the details provided on the application during the course of consideration or award must be notified to Kidney Research UK in writing. Significant changes will require the Charity's prior approval.

3. Resubmissions and Simultaneous Applications to Other Funding Bodies

- All resubmitted applications will be peer reviewed on their own merit, as per the normal peer review process, and as such will be evaluated in open competition in the round they are re-submitted. No precedence is set by previous submissions or by addressing the feedback comments on the previous application.
- Kidney Research UK allows simultaneous applications to other funding bodies whilst it is considering the same application. However, the applicant(s) must:
 - (i) check that the other funding body has no objections to simultaneous applications;
 - (ii) complete in full detail page 3 of the Charity's application form, detailing all and intended simultaneous applications three months PRE and POST submission to Kidney Research UK.

4. Reports and Publications

- Grant recipients will be asked to complete a Progress Report after three months. An Interim Report will be requested halfway through the research.
- A Final Report will be required at the end of the grant, which must include a lay summary, and be accompanied by a list of publications (including abstracts and scientific presentations) related to the work. This report is expected to be received at the same time as the final invoice.
- All grant recipients are required to register and annually submit data through the
 online portal called 'Researchfish' regarding research outputs and outcomes resulting
 from their award. 'Researchfish' is available online 24 hours a day, 365 days a year.
 This system replaces the previous MRC e-val portal and now includes a wider group of
 funding bodies including Kidney Research UK. (See Appendix 3.) Data must be entered
 during and indefinitely following completion of the grant.
- Acknowledgement of Kidney Research UK support must be made in all publications and presentations/posters resulting from work concerned. Copies of publications must be forwarded to Kidney Research UK.
- Kidney Research UK encourages publication into Open Access journals, however, it is not presently a mandatory requirement. There may be funds available at your institute for this, which applicants should explore.
- Publication fees, conference attendance and printing costs are not covered by Kidney Research UK.

5. Intellectual Property Rights, Patents and Copyright

• The provisions set out in Appendix 1 shall apply to any intellectual property rights created or otherwise relating to any work funded (in whole or part) by Kidney Research UK. Submission of an application confirms that the applicant(s) and his/her/their host institution accept/s these provisions within Appendix 1.

6. The use of the Public, Patients and Animals

- For ALL awards Kidney Research UK requires evidence of Home Office and/or Ethics Committee approval as applicable prior to the commencement of funding.
- Compliance with Kidney Research UK's Policy on the Use of Animals and/or Patients and Human Tissues in funded research is mandatory. (See Appendix 2.)

- The Research Governance Framework. From March 2004 all Research Institutes must have robust arrangements in place under the Research Governance Framework for Health and Social Care, which includes formally stating the Research Sponsor for all active research. Kidney Research UK, a medical research charity, has elected the role of "funder" under this framework (April 2002) and therefore requires the name of the Research Sponsor designated by the Institute for each grant awarded.
- Involvement of the public or patients early on in the research design and undertaking, where applicable, is encouraged but not mandatory. Where there has been such involvement this should be stated in the application.

7. Employment of Staff

- Kidney Research UK does not act as an employer and, therefore, in all cases where support is provided for the employment of staff, the host institution undertakes to issue a contract of employment in accordance with any relevant Acts relating to the conditions of employment. Kidney Research UK does not accept claims for sickness or maternity leave. As Kidney Research UK is not the employer, it is not responsible for claims under any statute or common law, nor will it indemnify the host institution against any claim for compensation or against any other claim for which the host institution may be liable as an employer.
- A full CV for staff appointed as Research Fellows or Assistants must be submitted on appointment.
- Applicants must not use a grant to enable a staff member to work for a degree or diploma, which requires more than the maximum period of the grant or exceeds the maximum grant.
- Applicants are required to state the appropriate point on the salary scale at which staff
 will be employed. Staff costs for each year covered by the application must be based
 upon a reasonable estimate of costs likely to be applicable during the term of the
 project including any nationally awarded salary increases. Note: Supplementary salary
 grants are not available.

8. Items of Expenditure

- Kidney Research UK, as a member of the Association of Medical Research Charities (AMRC), adopts its position statement, as revised in October 2004, regarding full economic costing (fEC) that "it is clear with regard to university funding, that its members will not fund research on a percentage basis. AMRC member charities will continue to pay for the directly incurred and identifiable costs of research, but do not consider it appropriate for them to fund university indirect costs or to be required to cover some of the other costs, such as investigators' salaries or estate costs, identified under TRAC". If the university has a system in place, then it is requested that the fEC of a project be declared to Kidney Research UK for information purposes only.
- Applications that include NHS resources should be aware of the new AcoRD guidance. Kidney Research UK, as an AMRC member charity, would expect any research costs identified as 'Part B' (i.e. local study trial co-ordination or data collection) that are carried out by existing staff employed by the NHS, NIHR Clinical Research Network (NIHR CRN) or other clinical organisation, to be paid by the Department of Health through local networks or the NHS Trust (see FAQs 9 and 10) in the Department of Health, 2012 AcoRD Report. They will not be paid by Kidney Research UK.
- The costs of staff, such as research assistants and technicians, working full- or parttime on a project, and the cost of identified items needed for carrying out the research, such as laboratory or clinical consumables, field work costs, capital and equipment costs etc., are covered and are classified as DIRECTLY INCURRED costs.
- The part costs of technical staff (e.g. statisticians, etc.) spending a portion of their time directly on the project will be allowable with justification and are classified as DIRECTLY ALLOCATED costs.

- Kidney Research UK will not contribute to the Principal Investigator's or Co-Investigator's salaries but does wish to be informed of the amount of time that they would be likely to spend on the project and how these costs will be covered.
- Kidney Research UK will not pay INDIRECT costs (e.g. travelling expenses, advertising for posts, secretarial work, stationery, IT equipment such as laptops/computers, licence fees or contribution to general departmental overheads).
- Items of capital expenditure in excess of £10,000 will require special justification in relation to the projected work.
- Kidney Research UK will not pay publication costs (including Open Access).
- Please ensure that costs are calculated accurately as supplementary grants are not available.
- As Kidney Research UK is an AMRC member charity, a grant awarded from the Charity provides eligibility for the receiving research institution to claim additional funding towards the full economic cost of the research being undertaken under the award from the Charity Research Support Fund (CRSF), a fund provided by the government direct to institutions.

9. Financial Arrangements

- The Head of Department/Division through whom the application is submitted, must be prepared to support the application and agree to the research being carried out in their section.
- The Secretary for the Institute or Finance Officer must be prepared to ensure that the funds provided are used for the purpose for which they have been given and to confirm that it is the Institute's intention to maintain support for the department during the period for which the grant is requested/given. Signature on the application form indicates they are aware of and accept this condition.
- The Institute's Finance Department will be required to submit to Kidney Research UK, along with its claim invoice, a breakdown or copies of invoices (where expense is greater than £500) for all equipment and supplies for which reimbursement is claimed. Applicants must ensure that their Finance Department understands and accepts this requirement. VAT zero rating must be applied to all equipment purchased.
- Reimbursement of expenditure will be made by Kidney Research UK upon receipt of a claim invoice certified to be correct personally by either the Chief Accountant or Finance Officer of the host institution.
- All claim invoices must be submitted in arrears at intervals of three months.
- The final claim invoice, marked as such, must be submitted within three months of the termination of the grant and must be accompanied by the Final Report.
- Any apparatus provided in this grant is donated to the Department in which the grant holder works for the benefit of his/her research and for use solely in medical research, diagnosis or treatment. If the Institute is a registered charity, it is possible to obtain exemption from the payment of VAT for equipment donated for medical research. No equipment may be purchased under this grant which involves the payment of VAT.

10. Termination of Grant

• When Kidney Research UK makes a grant, it reserves the right, without notice, to terminate the grant should it so wish. In such a case, Kidney Research UK will reimburse the host institution for expenditure properly incurred under the award up to termination day, but will not in any event be responsible for, nor indemnify the host institution against, any matters arising from the employment of staff.

11. Limitation of Kidney Research UK Liability

 Kidney Research UK is not responsible, financially or otherwise, for the expenditure or liabilities arising out of the work other than those listed in the formal award letter. All expenditure under this grant should be governed by the normal standards and procedures of the host institution.

12. Contact Details

• Completed applications and all correspondence thereof should be sent to:

Research Operations Department Kidney Research UK Nene Hall Lynch Wood Park Peterborough PE2 6FZ

E-mail: grants@kidneyresearchuk.org

Tel: +44(0) 1733 367835

www.kidneyresearchuk.org

APPENDIX 1 INTELLECTUAL PROPERTY PROVISIONS

1 Definitions

"Application"	the application for funding of a research or other project submitted to Kidney Research UK by any third party
"Charitable Objectives"	the charitable objectives of Kidney Research UK, including without limitation the following:
	(a) the advancement and promotion of research into the kidney and urinary tract and into the diseases that affect them ("kidney diseases"), including without limitation epidemiological, clinical and basic research directed towards understanding the processes responsible for kidney disease, as well as the development and evaluation of treatment in a manner which can best serve those who may benefit;
	(b) the relief and care of persons suffering from kidney disease; and,
	(c) the education of the public about kidney disease and the needs of persons suffering from it
"Direct Costs"	those costs incurred by the Researcher(s) that directly relate to the protection of the Research IPR (including without limitation patent filing fees) together with any other costs which Kidney Research UK agrees in writing may be deducted from the Gross Income to arrive at the Net Income
"Gross Income"	all monies and other remuneration received by the Researcher(s) in connection with the exploitation of the Research IPR without any deduction, including without limitation:
	(a) licence, signing and option fees;
	(b) royalties and commissions;
	(c) milestone and other performance payments;
	(d) benefits in kind; and,
	(e) amounts invoiced in respect of the supply of goods or services making use of the Research IPR,
	but excluding any remuneration received by the Individual Researcher(s) from the Research Organisation by way of revenue sharing arrangements offered by the Research Organisation to its employees
"Intellectual Property Rights"	all intellectual and industrial property rights, including without limitation, patents, rights in know-how, trademarks, registered designs, models, unregistered design rights, unregistered trademarks and copyright (whether in drawings, plans, specifications, designs and computer software or otherwise), database rights, topography rights, any rights in any invention, discovery or process, and applications for and rights to apply for any of the foregoing, in each case in the United Kingdom and throughout the world
"Net Income"	the Gross Income after deduction of Direct Costs
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"Project"	the project to be carried out by the Researcher(s), which is described in the Application and which is to be funded by Kidney Research UK (whether in whole or in part)
"Research IPR"	has the meaning given to the term in clause 2.1 below
"Quarter"	the period of three months commencing on the date of the Grant Award and each consecutive period of three months thereafter, or any shorter period commencing on a day immediately following the end of a Quarter and ending on the termination of the Award
"Research Organisation"	the Host Institution or Organisation described in the Application
"Researcher(s)"	the Research Organisation and the Individual Researcher(s)
"Individual Researcher(s)"	the Individual Researcher(s) described in the Application

2 Ownership and Exploitation of Funded IPR

Ownership of IPR

- 2.1 All Intellectual Property Rights created by or on behalf of the Researcher(s) in connection with the Project (the "Research IPR") will be owned by the Researcher(s) subject to the terms and conditions of the Grant Award.
- 2.2.1 Kidney Research UK is under an obligation to ensure that the useful results of any research activity which it funds in whole or in part are applied for the public good, the advancement of healthcare in renal medicine, and otherwise in connection with its Charitable Objectives. The Researcher(s) will provide reasonable assistance to Kidney Research UK in connection with the achievement of such aims and will grant to Kidney Research UK or procure the grant to Kidney Research UK of a perpetual, irrevocable, worldwide, royalty free, non-exclusive licence to use the Research IPR for non-commercial promotional and/or educational purposes in connection with its Charitable Objectives. Such licence to include the right to sub-licence to other not for profit research organisations in receipt of Kidney Research UK funding solely for non-commercial purposes in connection with Kidney Research UK's Charitable Objectives. If Kidney Research UK grants such a sub-licence to a third party it shall promptly notify the Researcher(s).
- 2.2.2 Should the Researcher(s), or its technology transfer company if relevant, decide not to proceed with protection and commercialisation of any Research IPR then it shall inform Kidney Research UK and, subject to any relevant third party rights and consents, Kidney Research UK shall have the right, but not the duty, to protect and exploit such Research IPR. Kidney Research UK shall confirm to the Researcher(s), or its technology transfer company if relevant, within a reasonable period (and bearing in mind patent deadlines) whether it wishes to take on the protection and commercialisation of such Research IPR so that the Researcher(s) may offer it back to other relevant funders or the inventors as appropriate. If Kidney Research UK decides to exercise its right to take on the protection and commercialisation of such Research IPR the Researcher(s), or its technology transfer company if relevant, will assign the Research IPR to Kidney Research UK or to a nominee notified in writing to the Researcher(s), or its technology transfer company if relevant.

Exploitation of IPR

- 2.3 The Researcher(s) will provide to Kidney Research UK upon request details of all Research IPR, take reasonable steps to protect the Research IPR and use its reasonable endeavours to exploit the Research IPR to an appropriate extent. The Researcher(s) will seek approval from Kidney Research UK of his/her/their plans for exploitation of the Research IPR (which approval may not be unreasonably withheld or delayed by Kidney Research UK), and will exploit the Research IPR in accordance with the plans approved by Kidney Research UK.
- 2.4 Kidney Research UK recognises that the Researcher(s) may want to seek registered intellectual property protection for the Research IPR and accordingly Kidney Research UK will not make public any Research IPR for a period of sixty (60) days from disclosure of that Research IPR to Kidney Research UK where at the time of disclosure the Research Organisation indicates in writing to Kidney Research UK that it will be applying for registered intellectual property protection in respect of the disclosed Research IPR.
- 2.5 If the Researcher(s) does/do not in the reasonable opinion of Kidney Research UK sufficiently exploit and protect the Research IPR, Kidney Research UK may serve written notice on the Research Organisation of this opinion and in such notice indicate what it wishes to be done to so sufficiently exploit and protect. If after six (6) months of such notice being served the Research Organisation has not in the reasonable opinion of Kidney Research UK complied with the notice then the Researcher(s) will assign the Research IPR (together with any other Intellectual Property Rights subsequently derived from the Research IPR) to Kidney Research UK or to a nominee notified in writing to the Research Organisation by Kidney Research UK. To the extent that any Research IPR can be assigned to Kidney Research UK without any formal assignment or other written document then the same will be automatically assigned to Kidney Research UK upon expiry of the six (6) month period referred to above.
- 2.6 If the Researcher(s) is/are required to grant an assignment under clause 2.5 then they will do such further things and execute such further documents as Kidney Research UK may require to effect and confirm such assignment.
- 2.7 The Researcher(s) will in respect of the exploitation of the Research IPR provide to Kidney Research UK:
 - 2.7.1 details of all Research IPR (and any Intellectual Property Rights derived from the same), including without limitation details of any patent applications filed in respect of such Intellectual Property Rights together with progress of all such applications;
 - 2.7.2 details (together with copies) of all agreements entered into which relate to the development and/or exploitation of the Research IPR subject to third party confidentiality obligations;
 - 2.7.3 within twenty-one (21) days of the end of each Quarter or such other period as Kidney Research UK approves, an exploitation report (in such format reasonably requested by Kidney Research UK) detailing the commercialisation activities for the previous Quarter, such report to include but not be limited to a statement setting out the financial information for the Project for the previous Quarter including without limitation Gross Income, Net Income and Direct Costs; and
 - 2.7.4 arrange and hold update meetings with Kidney Research UK at least once every six (6) months.

3 Revenue Sharing

3.1 In consideration of Kidney Research UK providing funding for the Project, the Researcher(s) will, unless agreed otherwise in writing by Kidney Research UK, share with Kidney Research UK the Net Income received by the Researcher(s) as follows:

Cumulative Net Exploitation Income	Proportion to be shared with Kidney Research UK
< £100,000	25%
£100,000 - £500,000	29%
> £500,000	30%

- The Researcher(s) will in respect of each Quarter pay to Kidney Research UK an amount equal to the proportion of the Net Income to be shared with Kidney Research UK in accordance with clause 3.1. Payment to Kidney Research UK will be made by the Research Organisation within thirty (30) days of the end of each Quarter in respect of the Net Income received by the Researcher(s) during that Quarter.
- 3.3 The Researcher(s) agree(s) to keep true and accurate records and accounts relating to the Gross Income, Direct Costs and Net Income. These records and accounts will upon request and reasonable notice being provided by Kidney Research UK be open at all reasonable times during business hours for inspection by Kidney Research UK or its duly authorised agent.

4 Restrictions on Dealings with the Research IPR

- 4.1 With the exception of assignment to the Researcher's/s' technology transfer company and subject to 4.3 below, the Researcher(s) may not at any time assign, charge or encumber the Research IPR (or any part of it) or assign or subcontract the whole or any part of the Grant Award, without the prior written consent of Kidney Research UK, such consent to be given at the absolute discretion of Kidney Research UK.
- 4.2 If Kidney Research UK consents to an assignment under clause 4.1, the Researcher(s) shall procure that the assignee is bound by substantially the same terms as contained in the Grant Award and shall not enter into any assignment without the written approval of Kidney Research UK of the terms of such assignment.
- 4.3 The Researcher(s) shall be free to assign any Research IPR to its technology transfer company without prior reference to Kidney Research UK but if it so assigns the Research IPR, the Researcher(s) shall procure that its technology transfer company shall be bound by substantially the same terms as contained in the Appendix 1 Intellectual Property Provisions of the Grant Award and that the technology transfer company shall not enter into any further assignment of the Research IPR without written approval of Kidney Research UK of the terms of such assignment, such approval not to be unreasonably withheld.

APPFNDIX 2

POLICY ON THE USE OF ANIMALS IN FUNDED RESEARCH

Animal experimentation is essential for some types of biomedical research and the process is regulated by UK law. All research involving the use of animals requires a licence from the Home Office under the Animals (Scientific Procedures) Act 1986. Under this Act all the researchers, research establishments and procedures through which the experiments are performed must be licensed by the Home Office. All these processes are rigorously policed by the Home Office Inspectorate.

Kidney Research UK approves research involving animal experimentation when there are no suitable or practical alternatives. Kidney Research UK strongly endorses the 3Rs principles of, Replacement, Reduction and Refinement along with high animal welfare standards. Kidney Research UK endorses the AMRC guidelines on animal research and as an AMRC member has signed up to the Concordat on Openness.

Grants will only be awarded when the researchers provide convincing arguments and abide by the best practice and legal requirements for research involving animals, which are not limited to the following clauses:

- a) The research question being addressed is of sufficient importance and justifies the use of animals;
- b) The use of animals is essential, and that the question cannot be answered using alternative methods:
- c) The experimental design is appropriate and should answer the research question;
- d) The number of animals is the minimum required to answer the question posed, and the species chosen is appropriate by using the simplest possible, or least sentient, species of animal;
- e) Home Office regulations relating to pain and distress of the animals are adhered to absolutely at all times and suffering and distress are avoided as much as possible;
- f) The experiments will only be performed on premises of the highest standards licensed by the Home Office; or, in cases where collaboration involves animal research overseas, that they are assured to be carried out in the 'spirit of UK Law';
- g) Use is made of the ARRIVE guidelines (http://www.nc3rs.org.uk/page.asp?id=1357) when designing experiments and reporting is in accordance with these guidelines as much as possible, taking into account the specific editorial policies of the journals concerned;

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- h) Work involves the implementation of the principles in the cross-funder guidance: Responsibility in the Use of Animals in Bioscience Research http://nc3rs.org.uk/responsibility-use-animals-bioscience-research
- i) Use of non-human primates must comply with the NC3Rs guidelines: Primate Accommodation, Care and Use. http://www.nc3rs.org.uk/page.asp?id=277

POLICY ON THE INVOLVEMENT OF PATIENTS, CONTROL VOLUNTEERS OR HUMAN TISSUES IN FUNDED RESEARCH

Kidney Research UK requires that research involving patients and healthy volunteers (controls) is subject to approval by a UK Ethics Committee managed through the National Research Ethics Service (NRES) regulated by the Health Research Authority (HRA), and requires a copy of a letter of approval before an award is activated.

Kidney Research UK requires that research involving human tissues and samples is conducted in accordance with all current legal and regulatory requirements.

This includes but is not limited to:

- appropriate approval from an Ethics Committee
- HTA licence in place, compliance with the Human Tissue Act 2004
- appropriate consent from the patients or next of kin
- treatment of the information and data with appropriate respect and conformity to the Data Protection Act 1998.

APPENDIX 3

POLICY ON USE OF 'Researchfish'

What is Researchfish?

Researchfish is a secure on-line system that gathers information directly from UK researchers about the outputs and outcomes from their grants. It is provided and fully supported by Researchfish Ltd (www.researchfish.com) with inbuilt 'on-line' help functions, as well as bespoke videos and webinars to provide training and assistance to allow users to become 'au fait' in their use of Researchfish.

What is its purpose?

Stakeholders have come together jointly to streamline the process of collecting research output and outcome data into a simple, easy process thereby relieving the current 'multi-reporting' burden stakeholders place upon all researchers and to provide the opportunity for improved evaluation of research achievements by both funders and research institutes.

Researchers - asked once only instead of multiple times for the same information.

Funders – ability to collect outputs/outcomes and have good reporting and analysis functions

Research Institutes - Institutional Data available for REF, etc.

Why should researchers use it?

It allows researchers to record their research outputs and outcomes from grants **just once**, assigning the information simply and easily to multiple funders. (Over time as more funders use Researchfish, and UK Research Institutes are granted viewing access, it will reduce the time needed and duplication in reporting the same information to multiple funders and host institutes, thereby reducing the administrative burden and freeing up time for research activities.)

It provides researchers with the ability to create a CV (CV Builder) which links with all their outputs automatically populating from their *Researchfish* portfolio, and links with the PubMed database ensuring accurate and reduced data entry for researchers managing their research outputs.

It allows funders accurately and robustly to gather data about the impact of the research they fund, which will ultimately allow them to demonstrate their impact further, leading to continued or increased levels of funding available to support their grant programmes.

What do researchers need to do?

All grant recipients are required to register and annually submit data through the online portal called *Researchfish* regarding research outputs and outcomes resulting from their award. *Researchfish* is available online 24 hours a day, 365 days a year. This system replaces the previous MRC e-val portal and now includes a wider group of funding bodies including Kidney Research UK. (See Appendix 3.) Data must be entered during and indefinitely following completion of the grant.

Kidney Research UK will use *Researchfish* to collect data longitudinally on outputs, outcomes and impacts arising from all our awarded grants, both during the period the grant is active and for subsequent years (indefinitely). Information you enter in *Researchfish* must be attributed to Kidney Research UK.

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What happens to the data researchers enter and who has access to it?

Data remains secure with Researchfish, which conforms to the Data Protection Act 1998.

Researchfish provides researchers with the ability to update their email contact details (via the 'Profile' section) for the purposes of interacting with Researchfish, and they can request amendment of any other details displayed in the system.

Data in a researcher's portfolio is not visible to any of the funding organisations using *Researchfish*, unless they attribute an output or outcome to a specific award and then the funder for that award would be able to see the data attributed to them. Funders cannot see outputs or outcomes attributed to other organisations.

Some funders will be allowing their data to be shared with Research Institutions, in which case **only** the funder's grants held by researchers at that research institution would become visible to that research institution. (Kidney Research UK will be sharing, such that Research Institutions can see data entered by their own researchers, which will negate the necessity of duplicate reporting to funder and host institute by researchers. Presently research institutions are still running their own internal databases.)

Researchfish holds information about the grants awarded to researchers drawn from Kidney Research UK's grants database, including your email address and your host institution. This information is used in combination with the output/outcome information reported via Researchfish to:

- Carry out analysis in relation to evaluation of research
- Operate Researchfish
- Report to host institutions (where sharing agreed)

Kidney Research UK will perform annual analysis of the outputs from all its awards collected in *Researchfish*, which will be used for reporting back to the Charity's Board of Trustees, and the Research Strategy Committee. Kidney Research UK may also publish the results of this analysis on its website or within charity publications or reports. Kidney Research UK will not be drawing comparisons between the outputs of individual researchers or institutions.

Kidney Research UK may share results from analyses carried out on data collected in *Researchfish* at the level of grant title, researcher name, institution name, study abstract, output information submitted.

Researchers should ensure that information submitted via *Researchfish* is **not confidential** and can be published.